

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

19904-012 NATL

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**09/857000**INTERNATIONAL APPLICATION NO.  
**PCT/FR99/02938**INTERNATIONAL FILING DATE  
**November 26, 1999 (26/11/99)**PRIORITY DATE CLAIMED  
**November 30, 1998 (30/11/98)**

## TITLE OF INVENTION

**Peptides Carrying Substance Across The Blood Brain Barrier**

## APPLICANT(S) FOR DO/EO/US

**CLAIR, Philippe; KACZOREK, Michel and Temsamani, Jamal**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Limited Recognition, Michel Morency**  
**Return Receipt Postcard**  
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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/857000</b>	INTERNATIONAL APPLICATION NO. <b>PCT/FR99/02938</b>	ATTORNEY'S DOCKET NUMBER <b>19904-012 NATL</b>
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24. The following fees are submitted:.				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$130.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	9 - 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	2 - 3 =	0	x \$80.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>	<b>\$270.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,260.00</b>	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,260.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,260.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,260.00</b>	
				<b>Amount to be: refunded</b>	\$
				<b>charged</b>	\$

- a. ☒ A check in the amount of **\$1,260.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0311**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ivor R. Elrifi  
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, PC  
One Financial Center  
Boston, MA 02111

  
SIGNATURE

Michel Morency

NAME

Limited Recognition

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PEPTIDIC VECTORS FOR TRANSPORTING SUBSTANCES THROUGH THE  
HEMATO-ENCEPHALIC BARRIER

The present invention relates to the use of peptides as vectors for the transfer of active molecules through the hemato-encephalic barrier (HEB) for applications in therapy and in diagnosis.

5       The major problem in the treatment of many diseases  
in the central nervous system lies in the fact that the  
administered molecules do not go past the hemato-  
encephalic barrier and therefore cannot reach their  
target in the brain.

10        Endothelial cells that make up the HEB form an  
obstacle to molecules that attempt to go past them, in a  
number of ways. These endothelial cells form a physical  
barrier represented by sealed junctions that are joined  
together and prevent any passage through the paracellular  
15 pathway, particularly since the endocytose activity in  
this pathway is low which very much limits the passage of  
plasma substances to the cerebral extracellular space.

Therefore, one of the research priorities in this field is to find means of increasing the efficiency with which active substances pass through the IEB. Several

strategies have been developed to increase passage of these substances through the HEB (Pardridge, 1994, Tibtech 12, 239-245, Tamai et al., 1996, Adv. Drug Del. Rev. 19, 401-424).

5 Three main strategies have been proposed for the transport of molecules through the HEB, namely a neurosurgical strategy, a pharmacological strategy for small molecules and a physiological strategy.

10 The neurosurgical strategy may be implemented by intraventricular infusion of the active substance, by cellular therapy, and by disturbance of the HEB. Intraventricular infusion requires that a catheter is placed in the ventricles (Aird, 1984, Exp. Neurol. 86, 342-358). This technique is very invasive and is not  
15 efficient for the transport of active substances in the parenchyma. Disturbance of the HEB causes a transient opening of tight junctions, in the case of vasoactive substances such as leukotrienes or bradykinines (Baba et al., 1991, J. Cereb. Blood Flow Metab. 11, 638-643).  
20 This strategy is also invasive and requires an arterial access in sedated patients. Furthermore, a repeated disturbance of the HEB can result in neuropathic changes (Salahuddin et al., 1988, Acta Neuropathol. 76, 1-10).

25 The pharmacological strategy for the transport of small molecules includes the addition of lipidic groups and the use of liposomes (Zhou et al., 1992, J. Controlled Release 19, 459-486). The addition of a lipidic group enables chemical conversion of molecules soluble in water into molecules soluble in lipids.  
30 However, synthesis of this type of product results in molecules that exceed the transport threshold. The

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molecules must have a molecular weight of less than 600d  
otherwise they will not go past the HEB. This is why  
liposomes, or even small vesicles, are too large and  
consequently inefficient for transport through the HEB  
5 (Levin, 1980, J. Med. Chem. 23, 682-684; Schackert et  
al., 1989, Selective Cancer Ther. 5, 73-79).

The physiological strategy makes use of a receiver-  
dependent transport system. The molecule to be  
transported is coupled to a biological molecule that has  
10 a receiver in the HEB. For example, transferrin has a  
receiver in the HEB and may be used as a vector (Jeffries  
et al., 1984, Nature 312, 162-163; Friden et al., 1983,  
Science 259, 373-377; Friden, 1994, Neurosurgery 35,  
294-298). Although this strategy enables an increase in  
15 the passage of molecules through the HEB, it does have  
some disadvantages. Firstly, coupling between the  
molecule and the vector takes place through genetic  
methods thus restricting the number of molecules to be  
transported to polypeptides or proteins only.  
20 Furthermore, the coupling system of the molecule to the  
vector is complicated.

Therefore, this invention is designed to overcome  
these disadvantages using peptides to carry substances  
through the hemato-encephalic barrier (HEB). There are  
25 several advantages to this approach. Firstly, the vector  
peptide is chemically synthesized. Furthermore, most  
molecules used for medicines (conventional molecules,  
peptides, proteins, oligonucleotides) can be easily and  
efficiently coupled to the vector.

30 Prior art described many peptides capable of passing  
through eukaryote cell membranes very quickly, such as

the following peptides: Protegrine, Antennapedia, Tachyplesine, Transportan, etc.

Some of these have cytolytic properties. These peptides, called antibiotic peptides, particularly  
 5 include Protegrins and Tachyplesins. Protegrins and Tachyplesins are natural antibiotic peptides which have a hairpin type structure held in place by disulfide bonds. These bonds play an important role in the cytolytic activity observed on human cells.

10 Depending on their structure, antibiotic peptides may be classified in three main families:

- Antibiotic peptides with amphipathic alpha helices: cecropins and maganins (Maloy, W. L. et al., 1995, BioPolymer 37, 105-122).
- 15 - Antibiotic peptides with beta platelets joined together by disulfide bonds: defensins (Lehrer, R. I. et al., 1991, Cell 64:229-230; Lehrer, R. I. et al., 1993, Ann. Rev. Immunol. 11:105-128), protegrins (Kokryakov, V. N. et al., 1993, FEBS 337:231-236),  
 20 tachyplesins (Nakamura, T. et al., 1988, J. Biol. Chem. 263:16709-16713; Miyata, T. et al., 1989, J. Biochem. 106:663-668).

- Antibiotic peptides with destructured chains containing a large number of angles related to the  
 25 presence of a number of prolines: bactenecins and PR39 (Frank, R. W. et al., 1991, Eur. J. Biochem. 202, 849-854).

A set of five peptides denoted PG-1, PG-2, PG-3, PG-4 and PG-5 are referred to as protegrins. The sequences of  
 30 these five peptides are given below; they are closely

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related to and are isolated from pork leukocytes (V.N. Kokryakov & col. FEBS lett. 327, 231-236):

PG-1: RGGRLCYCRRRFCVCVGR- NH<sub>2</sub>

PG-2: RGGRLCYCRRRFCICV- NH<sub>2</sub>

5 PG-3: RGGGLCYCRRRFCVCVGR- NH<sub>2</sub>

PG-4: RGGRLCYCRGWICFCVGR- NH<sub>2</sub>

PG-5: RGGRLCYCRPRFCVCVGR- NH<sub>2</sub>

Tachyplesins (Tamura, H. et al., 1993, Chem. Pharm. Bul. Tokyo 41, 978-980), denoted T1, T2 and T3 and  
 10 polyphemusins (Muta, T., 1994, CIBA Found. Sym. 186, 160-174), denoted P1 and P2, for which the sequences are given below, are corresponding peptides isolated from hemolymph from two crabs, *Tachyplesus tridentatus* for tachyplesins T1, T2 and T3 and *Limulus polyphemus* for  
 15 polyphemusins P1 and P2:

P1: RRWCFRVCYRGFCYRKCR- NH<sub>2</sub>

P2: RRWCFRVCYKGFCYRKCR- NH<sub>2</sub>

Protegrins, tachyplesins and polyphemusins contain a large proportion of basic residues (lysines and  
 20 arginines) and have four cysteines which form two parallel disulfide bonds. These three families of peptides also have similarities with some defensins, and in particular with human defensin NP-1 (Kokryakov, V. N. et al., 1993, Febs Let. 327, 231-236).

25 Thus, within the framework of this research work, the Applicant has discovered that an irreversible reduction of these disulfide bonds can result in linear peptides, hereinafter referred to as "Pegelines", which have the property of being able to quickly passing through  
 30 membranes in mammal cells by means of a passive mechanism that does not involve a membrane receiver. These linear

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peptides are non-toxic and have no lytic activity, and consequently they form a new carrier system for active substances in therapeutic or diagnosis domains. The work and results concerning these linear peptides and their use as a vector for active substances are described in the French patent application No. 97/10297 submitted by the Applicant on August 12 1998.

Peptides derived from the Antennapedia family are derivatives of the Antennapedia homeodomain transcription factor of drosophilae and, for example, are described in PCT international patent applications published under numbers WO91/18981 and WO97/12912. The sequence of these peptides has the specific feature that it is strongly conserved in all homeoproteins... These peptides are composed of three alpha helices and are capable of passing through the cellular membrane. The smallest homeodomain moiety capable of passing through membranes is a peptide of 16 amino acids (Prochiantz, 1996, Curr. Opin. In Neurob. 6, 629-634; Derossi et al., 1994, J. Biol. Chem. 269, 10444-10450).

Research work done within the framework of this invention has now enabled the applicant to show that some of these linear peptides, in other words peptides without a disulfide bond, may be used as a very efficient vector capable of passing through the HEB carrying an active substance for diagnosis or therapy of a disorder affecting the central nervous system (CNS).

Therefore, the invention more particularly relates to the use of a linear peptide coupled to an active substance for diagnosis or therapy of a disorder affecting the CNS for the preparation of a medicine



$$5 \quad X_1 - X_2 - X_3 - X_4 - X_5 - X_6 - X_7 - X_8 - X_9 - X_{10} - X_{11} - X_{12} - X_{13} - X_{14} - X_{15} - X_{16} \quad (I)$$

BXXBXXXBBBXXXXXB (II)

In formulas (II) and (III):

15        - groups X may be identical or different, and  
represent a residue of aliphatic or aromatic amino acid.

Peptides with formula (I) are derived from the Antennapedia family. In peptides with formula (I), the hydrophobic amino acids are alanine, valine, leucine, isoleucine, proline, phenylalanine, tryptophan, tyrosine and methionine, and the other amino acids are:

- non-hydrophobic, possibly non-polar amino acids  
30 such as glycine, or polar such as serine, threonine,  
cysteine, asparagine, glutamine, or

- 5        The preferred formula (I) type peptides include 6  
hydrophobic amino acids and 10 non-hydrophobic amino  
acids.

10

- 15

20

30

The preferred peptides used according to the invention are chosen from among peptides with the following amino acid sequences:

- RGGRLSYSRRRFSTSTGR, also denoted SynB 1 in the following,

- RRLSYSRRRF, also denoted SynB 3 in the following,

- rqikiwfqnrrrmkwkk

where the lower case letters represent amino acids in d form.

The active substance may be coupled with a peptide defined above in the compositions according to the invention by any acceptable bonding means considering the chemical nature, the size and number of active substances and associated peptides. They may be covalent, hydrophobic or ionic bonds, or cleavable or non-cleavable bonds in the physiological media or inside cells.

Coupling may be achieved in any site in the peptide in which functional groups such as -OH, -SH, -COOH, -NH<sub>2</sub> are naturally present or have been introduced. Thus an anticancer agent may be related to the peptide at the N-terminal or C-terminal ends, or in the peptide side chains.

Similarly, coupling may be achieved on any site in the active substance, for example at which functional groups such as -OH, -SH, -COOH, -NH<sub>2</sub> are naturally present or have been introduced.

Thus, the invention is particularly related to the use of compounds according to the formula (IV) below:

A (-) (B). (IV)

where

- A is a peptide as described above,

- B is a substance active in diagnosis or therapy for a disorder of the CNS,

- n is 1 or more, and preferably up to 10, and advantageously up to 5,

5        -  $(-)_m$  represents the linker between A and B, where m is 1 or more, and preferably up to 10 and advantageously up to 5,

10        for the preparation of a medicine capable of passing through the hemato-encephalic barrier to be used in diagnosis or therapy for a disorder localized in the CNS.

In formula (IV), the  $(-)_m$  linker between A and B is a covalent, hydrophobic or ionic linker, cleavable or non-cleavable in physiological media or inside the cells, or a mixture thereof.

15        Compounds with a type (IV) formula may be prepared by chemical synthesis or by using molecular biology techniques.

20        Chemical syntheses can be carried out using commercial devices capable of incorporating non-natural amino acids such as D enantiomers and residues with lateral chains for which the hydrophobicities and sizes are different from those of their natural homologues. During synthesis, it is obviously possible to make a wide range of modifications, for example such as introducing a  
25        lipid such as prenyl or myristyl on the N-terminal, so that the peptide according to the invention, and therefore the compound with a type (IV) formula, can be anchored to a lipidic membrane such as a liposome membrane composed of lipids. One or several peptidic  
30        linkers  $(-CO-NH-)$  can also be replaced by equivalent

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structures like  $-\text{CO}-\text{N}(\text{CH}_3)-$ ,  $-\text{CH}_2-\text{CH}_2-$ ,  $-\text{CO}-\text{CH}_2-$ , or groups such as  $-\text{CH}_2-$ ,  $-\text{NH}-$ ,  $-\text{O}-$  can be inserted.

It would also be possible to obtain compounds with a type (IV) formula, or some of these compounds with a proteic nature, starting from an encoding nucleic acid sequence. Another purpose of this invention is a nucleic acid molecule comprising or composed of an encoding nucleic sequence for a linear peptide derived from an antibiotic peptide. More particularly, the invention relates to a nucleic acid molecule comprising at least one encoding sequence for a compound with formula (IV) or a part of it with the proteic nature. These nucleic acid sequences may be DNAs or RNAs and may be associated with control sequences and/or inserted in vectors. The vector used is chosen as a function of the host into which it will be transferred; it may be any vector like a plasmid. These nucleic acids and vectors are useful to produce peptides and compounds with formula (IV) or part of them with the proteic nature in a cellular host. Preparation of these vectors, and production or expression of peptides or compounds with a type (IV) formula in a host, may be achieved using molecular biology and genetic engineering techniques well known to those skilled in the art.

Compositions containing compounds with a type (IV) formula, and advantageously a pharmaceutically acceptable carrier, may be administered by different pathways, for example (non-limitatively) intravenous, intra-muscular, subcutaneous pathways, etc.

Peptides with a type (I), (II) or (III) formula can be used to make an active substance pass through the

hemato-encephalic barrier, even though the substance would not pass through the barrier otherwise, or would pass through it very ineffectively. Therefore they can be used for the treatment, prevention or diagnosis of a disorder affecting the CNS (as previously proposed), but also for studies carried out on a variety of drugs with hemato-encephalic barrier models.

Active substance included within the invention include particularly proteins such as polypeptides or peptides, antibodies or parts of antibodies, nucleic acids and oligonucleotides or ribozymes, and obviously active chemical molecules for the treatment or prevention of human or animal pathologies of the CNS, such as for example (but not restrictively) antitumoral, antiviral, antidepressant agents, analgesic agents, etc.

For diagnosis purposes, the active substance may be a radioactive marker or a coloured marker, or any other means or substance capable of revealing a metabolism or pathology affecting the CNS.

Some examples of CNS disorders for which this invention could be used for diagnosis, treatment or prevention, are brain cancer, Alzheimer's disease, Parkinson's disease, depression, pain, meningitis, etc., but this list is in no way limitative.

Therefore, the invention relates particularly to the use of compounds according to formula (IV) for the preparation of a medicine intended for the treatment or prevention of brain cancers, Alzheimer's disease, Parkinson's disease, depression, pain, meningitis.

Other advantages and characteristics of the invention will become clear after reading the following examples

concerning the preparation of compounds with a type (IV) formula in which the active substances are doxorubicin, dalargin, penicillin and their penetration into the brain in accordance with the use of linear peptides according to the invention.

#### Example I: Penetration of doxorubicin

#### I-EXPERIMENTAL CONDITIONS

##### 1) Chemical synthesis

Several peptides were synthesized and internalisation was tested in several cellular lines. In general, the physicochemical properties of the peptides were modified and the results obtained show that some peptides penetrate much better than others after the modification, like peptides in compounds No. 1 and 2 in table I below. It was also observed that some peptides penetrate more quickly into some cellular types than into others, which indicates a cellular tropism.

##### a) Preparation of Doxorubicin-Succ-Peptides

Doxorubicin is coupled on a peptide through a succinic link in three steps as shown in FIGURE 1 attached.

Succinic anhydride (1, 1eq, dissolved in DMF) is added to doxorubicin chlorhydrate (1 eq), solubilised in dimethylformamide (DMF) in the presence of Diisopropylethylamine (DIEA, 2 eq).

After 20 minutes incubation at room temperature, the doxorubicin hemisuccinate thus formed is then activated

5        The peptide (1.2 eq in DMF) is then added to the  
      reactional mix and spontaneously combines with  
      doxorubicin hemisuccinate activated during an additional  
      20 minutes incubation.

Each of the steps, and the final product, are checked by analytic HPLC and mass spectrometry.

The doxorubicin is coupled on a thiol function carrier peptide in two steps as shown in FIGURE 2 attached.

The thiol function carrier peptide (1.2 eq in DMF) is then added to the reactional mix, and spontaneously  
25 combines with doxorubicin maleimidopropionate during an additional 20 minutes incubation.

Each of the steps, and the final product are checked  
30 by analytic HPLC and mass spectrometry.



2) Products tested

The products tested are shown in table I below.

TABLE I

Compound	
No. 1 (Doxo-SynB1)	doxo-CO-(CH <sub>2</sub> ) <sub>2</sub> -CO-RGGRLSYRRRFSTSTGR
No. 2	doxo-SMP-3MP-rqikiwfmrrmkwkk

$$\text{CO}-(\text{CH}_2)_2-\text{CO} = \text{succinate linker}$$

5 MercaptoPropionate linker

3) In situ cerebral perfusion

This is a fast and sensitive method of evaluating the penetration of various compounds into the central nervous system (Takasato et al., 1984, *Am. J. Physiol.* 247, 484-493; Allen et al., 1997, *Pharm Res.* 14, 337-341). Two month old male Sprague-dawley rats (250-350g. Iffa-Credo; l'Arbresle, France) are anaesthetised. After exposure of the common carotid, the right external carotid artery is bound at the junction with the internal carotid and the common carotid is bound between the heart and the site at which the catheter is installed (polyethylene catheter,, ID = 0.76). This catheter, previously filled with a solution of heparin (100 units/ml) is inserted into the common carotid. Rats are perfused with the perfusion buffer (128 mM NaCl, 24 mM NaHCO<sub>3</sub>, 4.2 mM KCl, 2.4 mM NaH<sub>2</sub>PO<sub>4</sub>, 1.5 mM CaCl<sub>2</sub>, 0.9 mM MgSO<sub>4</sub>, and 9 mM D-glucose). This buffer is filtered and then is bubbled using a mix containing 95% O<sub>2</sub> / 5% CO<sub>2</sub> in order to keep the pH close

to 7.4 and to supply oxygen to the brain during the perfusion.

The rats are perfused with the buffer containing free doxorubicin or compounds No. 1 or 2. The doxorubicin is radio-marked with carbon 14 in each product (specific activity = 9.4 microCi/mg, Amersham, France). The products are perfused at a concentration of 0.33 microCi/ml or 0.035 mg/rat.

The heart is stopped by cutting the ventricles immediately before perfusion starts, in order to prevent reflux of the perfusate during the perfusion. The right hemisphere is then perfused at a rate of 10 ml/min for 60 seconds, and the rat is then decapitated.

#### 15 b) Rinsing

For rats on which the rinsing step is carried out, the catheter is inserted into the common carotid as described above, and is then connected to a 4-way valve (opposite passages) (Hamilton, USA) connected to two syringes; one containing the radio-marked tracer (syringe A) and the other the buffer alone (syringe B). Once the catheter is in position and the connections have been made correctly, the thoracic cage of the rat is opened and the heart is cut. The contents of syringe A are then immediately perfused at a rate of 10 ml/min. After 60 seconds, the contents of syringe B are injected in turn at the same rate. The rat is decapitated after 30 seconds of rinsing.

#### 30 c) Dissection of the brain

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The brain is removed quickly after decapitation. The brain is dissected on a mirror into 8 regions, namely Hypothalamus (HY), frontal Cortex (CF), Mesencephale (MS), Occipital Cortex (CO), Parietal Cortex (CP), 5 Thalamus (TH), Hippocampus (HP), Striatum (ST), which are placed in previously calibrated glass flasks and then weighed. These structures and 50 microlitres of perfusate are digested for two hours in 1 ml of soluene at 60°C. A scintillating cocktail (10 ml, Pico-fluor, 10 Packard) is added to each sample and the quantity of tracers contained in them is measured by double counting in liquid scintillation (Packard, Tricarb, 1900TR).

#### d) Capillary depletion

15 This method is used to measure the distribution of products between the cerebral parenchyma and endothelial cells, Triguero et al., 1990, J. Neurochem. 54, 1882-1888). After 60 seconds of perfusion, that may or may not be followed by 30 seconds rinsing, the right 20 hemisphere is removed, its meninges and choroid plexus are removed, and it is then homogenized in 3.5 ml of Hepes buffer (10 mM of Hepes, 141 mM of NaCl, 4 mM of KCl, 1 mM of  $\text{NaH}_2\text{PO}_4$ , 2.8 mM of  $\text{CaCl}_2$ , 1 mM of  $\text{MgSO}_4$  and 10 mM of D-glucose, pH = 7.4). After grinding in the 25 potter, 4 ml of solution containing 4% of Dextran (PM = 76900) are added and the mix is stirred vigorously in order to obtain a final concentration of 20%. All these operations are carried out at 4°C in less than 5 minutes. After taking a sample of the homogenate thus obtained, 30 the homogenate is then put into the centrifuge for 15 minutes at 5400 g in order to separate the endothelial

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cells present in the base of the cerebral parenchyma that remained in the floating material. The results are expressed as distribution volumes in the floating material and the base.

5

#### 4) Intravenous injections

NMRI-nude mice are injected intravenously with compound No. 1 or doxorubicin alone at a dose of 2.5 mg/kg (equivalent in doxorubicin). The doxorubicin is marked with carbon 14 (about 0.5 microCi is injected per mouse). The mice are sacrificed after 1, 5, 15, 30, 60, 180, 480 and 1360 minutes. The organs are then removed and counted. The quantity of radioactivity in each organ is then expressed as the quantity of product per gram of organ. In this study, we used five mice for each period of time.

In the case of compound No. 2, the CD1 mouse is injected intravenously with compound No. 2 or with free doxorubicin at a dose of 2 mg/kg (equivalent in doxorubicin). Doxorubicin is marked with carbon 14 (about 3 microCi is injected per mouse). The mouse is sacrificed after 15 minutes, 2 hours and 8 hours, and the product quantity in each organ is analysed using the "Whole-body autoradiography" technique. The quantity of radioactivity in each organ is then expressed as a quantity of product per gram of organ. We used one mouse per group in this experiment.

## II-Results

30

### 1) In situ cerebral perfusion

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a) Tolerance to products

Initially, the effect of the tested products on the integrity of HEB was observed based on the distribution  
5 volume of [<sup>3</sup>H]-sucrose, which is a small molecule that does not enter the central nervous system for short exposure times. It is estimated that this volume must not exceed 18 µg/ml. For larger volumes, it is concluded that the permeability of HEB is abnormal.

10 Doxorubicin, compounds numbers 1 and 2 were injected in the presence of sucrose and the integrity of the HEB is measured. Table II below shows the effect of the perfusion of the products on the integrity of the HEB.

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Table II

Compound	Perfused dose	State of the HEB
doxorubicin	0.07 mg	Integral
No. 1 (Doxo-SynB1)	0.05 mg	Integral
	0.8 mg	Integral
No. 2	0.05 mg	Integral
	0.2 mg	Integral
	0.8 mg	Abnormal

Compound No. 1 does not open the HEB abnormally even at doses of 0.8 mg. However, with compound No. 2, the HEB opens at doses exceeding 0.2 mg. Consequently, the work was carried out using products at doses of 0.05 mg.

b) Product penetration

This study consisted of comparing penetration in the HEB of doxorubicin alone with doxorubicin using compounds numbers 1 and 2 as vectors. After 60 seconds perfusion in the buffer, the penetration of products was estimated using the influx constant or  $K_{in}$  in microl/sec/g. FIGURE 3 shows the penetration of products into the brain. It is observed that when doxorubicin is transported by the two vectors, its transfer into the brain is increased by 5 to 7 times after 60 seconds perfusion in the buffer.

In another experiment, the brain was dissected in 8 regions as described above and the quantity of product in each region was measured. FIGURE 4 attached shows the penetration of these products into the brain. It is found that penetration of compounds numbers 1 and 2 is 5 to 7 times greater than the penetration of free

doxorubicin, regardless of the brain structures considered.

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c) Penetration of products after rinsing

Rinsing of cerebral capillaries by perfusion of the buffer without tracer for 30 seconds eliminates the fraction of the product being studied adhering to the luminal membrane of endothelial cells, if any. FIGURE 5 attached shows the results of product penetration after rinsing. A reduction of the influx constant by about 25% is observed for free doxorubicin. For vectorised doxorubicin, this reduction is 45% for compound No. 1 and 10% for compound No. 2. Finally, penetration of compounds No. 1 and 2 is increased by factors of 4 and 7 respectively compared with free doxorubicin.

d) Distribution of products after capillary depletion

This method measures the distribution of products between the cerebral parenchyma and endothelial cells. Capillary depletion is carried out after 60 seconds perfusion followed by 30 seconds rinsing. The distribution volumes (Vd) in endothelial cells and the cerebral parenchyma are expressed in microliters/g.

FIGURE 6 attached indicates the product distribution after capillary depletion. A Vd equal to 1.75  $\mu\text{l/g}$  is observed for free doxorubicin in the cerebral parenchyma, the Vd for compound No. 1 is 28.5  $\mu\text{l/g}$  and the Vd for compound No. 2 is 29.5  $\mu\text{l/g}$ . These results show that penetration of vectorised doxorubicin (compounds No. 1 and 2) into the cerebral parenchyma is very much increased compared with the penetration of free doxorubicin. About 60% of compounds No. 1 and 2 are found in the cerebral parenchyma 1 minute after cerebral

perfusion of molecules followed by 30 seconds rinsing of the cerebral capillaries.

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## 2) Intravenous injection

The study of a substance passing through the HEB requires the use of several complementary methods. Cerebral perfusion enables measurements over very short  
 5 times. Intravenous injection can give a global evaluation of pharmacokinetics in animals over long periods. The radioactive molecule is introduced into the blood circulation and diffuses throughout the organism. A certain quantity of this molecule enters the brain,  
 10 where it is measured at determined times.

### a) With compound No. 1

After intravenous injection of compound No. 1, nude mice were sacrificed at different times and the total  
 15 radioactivity in the brain was counted and expressed as a quantity of product per gram of brain. Table III below shows the quantity of doxorubicin and compound No. 1 in the brain.

20 Table III

Group	Product	Dose (mg DXR base/kg)	Time (min)	Product quantity (microg/g of brain)
1	doxorubicin	2.5	1	0.14
			5	0.05
			15	0.04
			30	0.05
			60	0.04
			180	0.03
			480	0.04
			1360	0.01

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2	Compound No.	2.5	1	0.48
	1		5	0.18
			15	0.42
			30	0.25
			60	0.12
			180	0.03
			480	0.02
			1360	0.01

Transport by a vector significantly improved the passage of doxorubicin through the hemato-encephalic barrier. This accumulation is observed not only for short times, but also for long periods of up to 3 hours post-administration. Table IV below shows the ratio of vectorised doxorubicin (compound No. 1) compared with doxorubicin alone.

Table IV

Time (min)	Ratio of compound No. 1 / doxorubicin
1	3.4
5	3.6
15	10.5
30	5
60	3
180	1

b) With compound No. 2

After intravenous injection of compound No. 2, CD-1 mice were sacrificed after 15 minutes, 2 hours and 8 hours. The total radioactivity in the brain is analysed using the "Whole body autoradiography" method and is

expressed as a product quantity per gram of brain. Table V below shows the quantity of doxorubicin and compound No. 2 in the brain.

Table V

Group	Product	Dose (mg (DXR base/kg)	Time (min)	Product quantity (ug/g of brain)
1	doxorubicin	2	15	1.24
			120	0.98
			480	0.67
2	Compound No. 2	2	15	9.49
			120	4.73
			480	4.52

Transport by a vector significantly improved the passage of doxorubicin through the hemato-encephalic barrier. This accumulation was observed for long periods of up to 8 hours post-administration. Table VI below shows the ratio of vectorised doxorubicin (compound No. 2) compared with doxorubicin alone.

Table VI

Time (min)	Ratio of compound No. 2 / doxorubicin
15	7.65
120	4.83
480	6.75

#### Example II: Penetration of dalargin

##### 1) Products tested

The products tested in this example are shown in table VII below.

Table VII

compound	
3:dalargine	Y-(D)A-GFLR
4:dal-SynB1	Y-(D)A-GFLR-S-S-RGGRLSYSRRRFSTSTGR

dal:dalargine

S-S:linker disulfide

5 (D):amino acid in d form

## 2) Penetration of Products

This study consisted of comparing the penetration of the HEB from dalargine alone, with vectorised dalargine. Dalargine is an analgesic peptide. Dalargine was bound to the vector peptide in table VII by a disulfide bond. This form of hydrolysable bond was selected since it has been demonstrated in the literature that these disulfide bonds are stable in plasma but the bond is hydrolysed as soon as the product enters the HEB, thus releasing drugs.

After 60 seconds perfusion in the buffer, the penetration of products is estimated by the influx constant ( $K_{in}$ ) in  $\mu\text{l}/\text{sec}/\text{g}$ . FIGURE 7 shows that vectorisation of dalargine by the vector peptide SynB1 considerably increases its transfer into the brain after 60 seconds perfusion in the buffer.

## 3) Biological activity

For the purposes of this study, the biological activity of dalargine alone was compared with the biological activity of dalargine vectorised with SynB1. Consequently, the "Hot Plate" model in the mouse was used... In this model, the mouse is placed on a hot

plate and the time that the mouse takes to react to heat is measured as the "latency time".

2 mg/Kg of each product was injected intravenously into the mouse (the dose corresponding to the quantity of dalargine). After times varying from 0 to 90 minutes, the latency time was measured. FIGURE 8 shows that no effect was observed after the injection of dalargine alone. The latency time is constant. However, when vectorised dalargine is injected, an increase in the latency time is observed especially for times varying from 5 to 30 minutes after administration of the product. For example 5 minutes after injection, the latency time of vectorised dalargine is 22.75 seconds, whereas it is only 7.6 seconds for dalargine alone. This clearly shows that the analgesic activity of vectorised dalargine has been increased.

It has also been verified that this effect is not due to the peptide alone. This was done by injecting the SynB1 vector alone and measuring the latency time. The results are comparable to the results obtained with dalargine alone, indicating that the vector alone has no analgesic activity.

#### Example III: Penetration of doxorubicin

This example concerns a vector peptide other than that in example 1.

##### 1) Products tested

The products tested in this example are shown in table VIII below.



Table VIII

Compound	
doxo	doxorubicin
No. 5: doxo-SynB 3	Doxo-CO-(CH <sub>2</sub> ) <sub>2</sub> -CO-RRLSYSRRRF

Doxo: doxorubicin

CO-(CH<sub>2</sub>)<sub>2</sub>-CO: linker succinate

5

## 2) Penetration of products

This study consisted of comparing the penetration of doxorubicin alone and the penetration of vectorised doxorubicin, into HEB. After 60 seconds perfusion in the buffer, the penetration of products is estimated by the influx constant (K<sub>in</sub>) in  $\mu\text{l}/\text{sec}/\text{g}$ . FIGURE 9 shows that vectorisation of doxorubicin by the vector peptide SynB3 increases its transfer into the brain by five times after 60 seconds perfusion in the buffer.

In another experiment, the brain was dissected into six regions as described above and the quantity of product in each region was measured. FIGURE 10 shows that the penetration of compound No. 2 is greater than the penetration of free doxorubicin, regardless of the brain structures considered.

## 3) Distribution of products after capillary depletion

This method measures the distribution of products between the cerebral parenchyma and endothelial cells. Capillary depletion is measured after 60 seconds perfusion followed by 30 seconds rinsing. Distribution volumes (V<sub>d</sub>) in endothelial cells and the cerebral parenchyma are expressed in  $\mu\text{l}/\text{g}$ .

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FIGURE 11 shows that Vd in the cerebral parenchyma for free doxorubicin is 1.75  $\mu\text{l/g}$ , and is 98.32  $\mu\text{l/g}$  for vectorised doxorubicin. This indicates that penetration of vectorised doxorubicin in the cerebral parenchyma is 50 times greater than would be possible for free doxorubicin.

Example IV: Penetration of penicillin

10 1) Products tested

The products tested in this example are shown in table VII below.

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Table IX

Compound	
PNC	benzylpenicillin
No. 6: PNC-SynB1	PNC-linker-RGGRLSYSRRRFSTSTGR

The coupling system of benzylpenicillin with the SynB1 vector is shown in FIGURE 12. NBP (N-benzyl penicillin) was coupled with the SynB1 vector by a glycolamidic link. In the first step, the free carboxylate of NBP is coupled by an ester bond onto trichlorophenol bromo-acetate. The vector is then coupled by an amide link onto its N-terminal end with a trichlorophenol departure. The coupled product is purified by chromatography on the reverse phase and is then freeze dried.

## 2) Penetration of Products

Penetration of benzylpenicillin alone into HEB was then compared with penetration with vectorised benzylpenicillin (compound No. 6). After 60 seconds perfusion in the buffer, penetration of radio-marked products is estimated by the influx constant or  $K_{in}$  in  $\mu\text{l}/\text{sec}/\text{g}$ . FIGURE 13 shows that vectorisation of penicillin by the vector increases its transfer into the brain by a factor of about 9 times after 60 seconds perfusion in the buffer.

In another experiment, after 30 seconds perfusion in the buffer, the brain was dissected in several regions as described above and the quantity of product in each region was measured. FIGURE 14 shows that the observed penetration of compound No. 6 was six to fourteen times

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greater than the penetration of free penicillin,  
depending on the brain structure considered.

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This method is used to measure the distribution of products between the cerebral parenchyma and endothelial cells. Capillary depletion is done after 30 seconds perfusion followed by 30 seconds rinsing. Distribution volumes ( $V_d$ ) in endothelial cells and the cerebral parenchyma are expressed in  $\mu\text{l/g}$ .

FIGURE 15 shows the Vd of free benzylpenicillin in the cerebral parenchyma equal to 3.94  $\mu\text{l/g}$ , and the Vd for vectorised benzylpenicillin equal to 25.76  $\mu\text{l/g}$ . This shows that penetration of vectorised benzylpenicillin into the cerebral parenchyma is very much increased compared with the penetration of free benzylpenicillin.

CLAIMS

1. The use of a linear peptide coupled to an active substance for diagnosis or therapy of a disorder affecting the CNS for the preparation of a medicine capable of passing through the hemato-encephalic barrier to be used for diagnosis or therapy of a disorder localized in the CNS, the said peptide satisfying one of the following formulas (I), (II) or (III):

10  $X_1-X_2-X_3-X_4-X_5-X_6-X_7-X_8-X_9-X_{10}-X_{11}-X_{12}-X_{13}-X_{14}-X_{15}-X_{16}$  (I)

In formula (I), the residues  $X_1$  to  $X_{16}$  are residues of amino acids, in which 6 to 10 of them are hydrophobic amino acids and  $X_6$  is tryptophan,

BXXBXXXXBBBXXXXXXB (II)

15 BXXXBXXBXXXXBBXB (III),

In formulas (II) and (III):

- groups B may be identical or different, and represent an amino acid residue for which the side chain carries a basic group, and

20 - groups X may be identical or different, and represent a residue of aliphatic or aromatic amino acid, or

the said peptides with formulas (I), (II), (III) in retro form, composed of amino acids with a D and/or L configuration, or a moiety of these acids composed of a sequence of at least 5 and preferably at least 7 successive amino acids of peptides with formulas (I), (II) or (III).

30 2. Use according to claim 1, characterized in that in peptides with formula type (I), the hydrophobic amino

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acids are alanine, valine, leucine, isoleucine, proline, phenylalanine, tryptophan, tyrosine and methionine, and the other amino acids are:

- non-hydrophobic, possibly non-polar amino acids
- 5 such as glycine, or polar such as serine, threonine, cysteine, asparagine, glutamine, or
- acid (aspartic or glutamic acid), or
- basic (lysine, arginine or histidine), or
- an association of amino acids in these three
- 10 categories.

3. Use according to one of claims 1 or 2, characterized in that the formula (I) type peptide includes 6 hydrophobic amino acids and 10 non-hydrophobic amino

15 acids.

4. Use according to claim 1, characterized in that in the peptides in formula types (II) and (III):

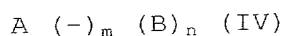
- B is chosen among arginine, lysine, diaminoacetic
- 20 acid, diaminobutyric acid, diaminopropionic acid, ornithine and

- X is chosen among glycine, alanine, valine, norleucine, isoleucine, leucine, cysteine, cysteine<sup>AcM</sup>, penicillamine, methionine, serine, threonine, asparagine,
- 25 glutamine, phenylalanine, histidine, tryptophan, tyrosine, proline, Abu, carboxylic amino-1-cyclohexane acid, Aib, carboxylic 2-aminotetraline, 4-bromophenylalanine, tert-Leucine, 4-chlorophenylalanine, beta-cyclohexylalanine, 3,4-dichlorophenylalanine, 4-
- 30 fluorophenylalanine, homoleucine, beta-homoleucine, homophenylalanine, 4-methylphenylalanine, 1-

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naphthylalanine, 2-naphthylalanine, 4-nitrophenylalanine, 3-nitrotyrosine, norvaline, phenylglycine, 3-pyridylalanine and [2-thienyl]alanine.

5 5) The use of compounds according to the formula (IV) below:



where

- A is a peptide as described above in one of claims 1 to 4,

10 - B is a substance active in diagnosis or therapy for a disorder of the CNS,

- n is 1 or more, and preferably up to 10, and advantageously up to 5,

15 -  $(-)_m$  represents the linker between A and B, where m is 1 or more, and preferably up to 10 and advantageously up to 5,

for the preparation of a medicine capable of passing through the hemato-encephalic barrier to be used in diagnosis or therapy for a disorder localized in the CNS.

20

6. Use according to claim 5, characterized in that in formula (IV), the  $(-)_m$  linker between A and B is a covalent, hydrophobic or ionic linker, cleavable or non-cleavable in physiological media or inside the cells, or  
25 a mixture thereof.

7. Use according to one of claims 5 or 6, for the preparation of a medicine intended for the treatment or prevention of brain cancers, Alzheimer's disease,  
30 Parkinson's disease, depression, pain, meningitis.



FIGURE 1: Preparation of doxorubicin-Succ-peptides

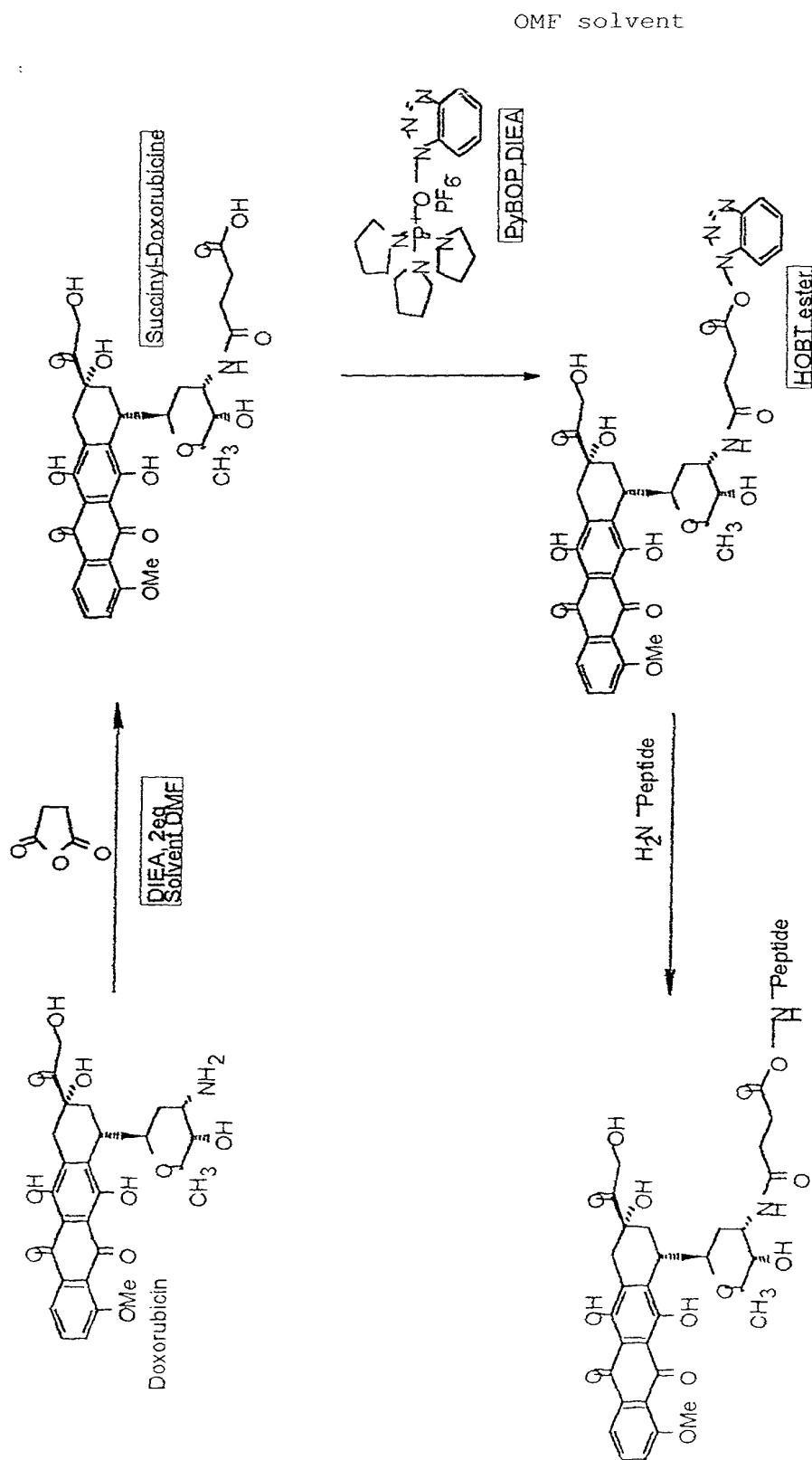


FIGURE 2: Preparation of doxorubicin-SMP-3MP-peptides

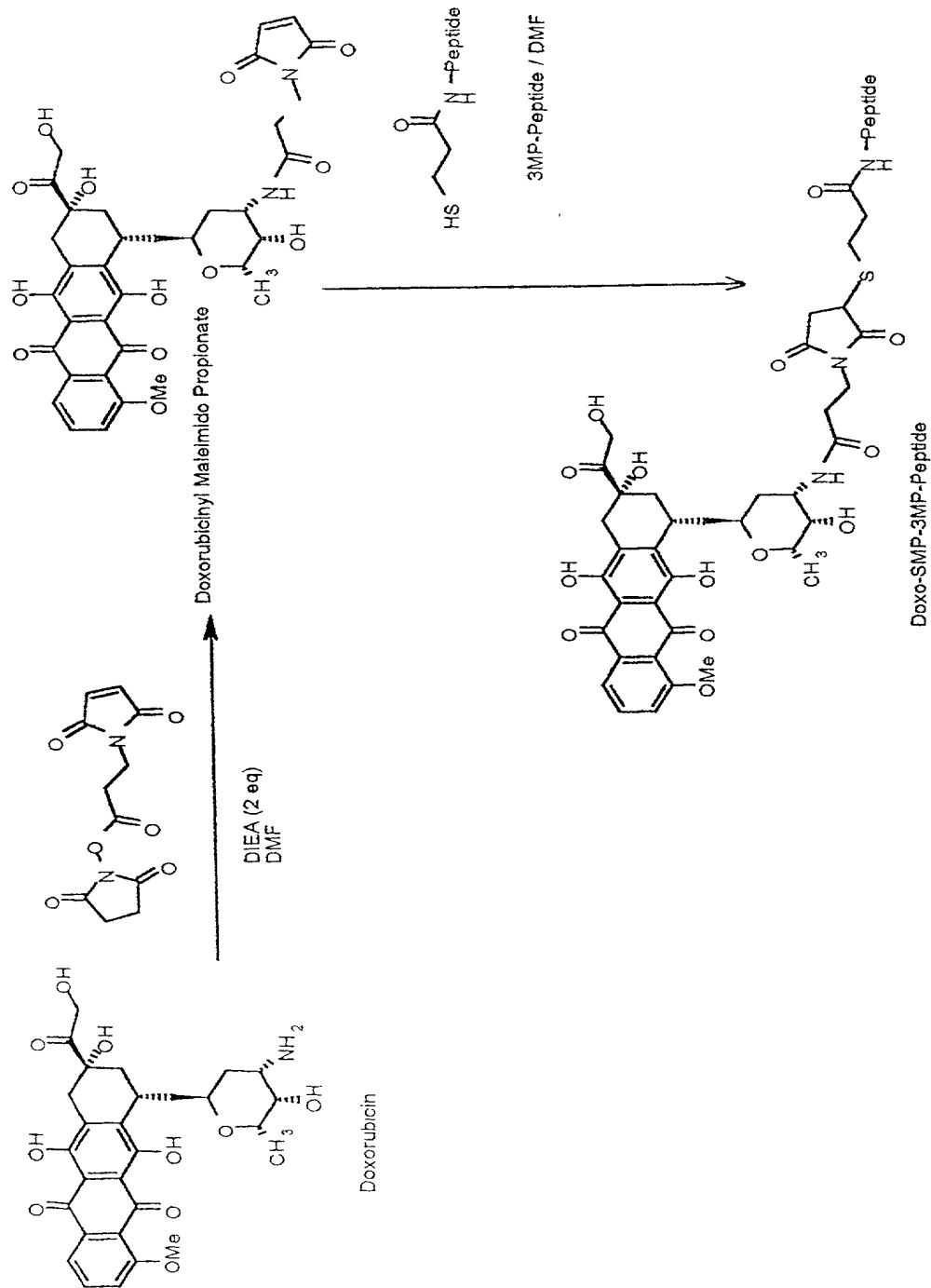


FIGURE 3 - Penetration of products into the brain

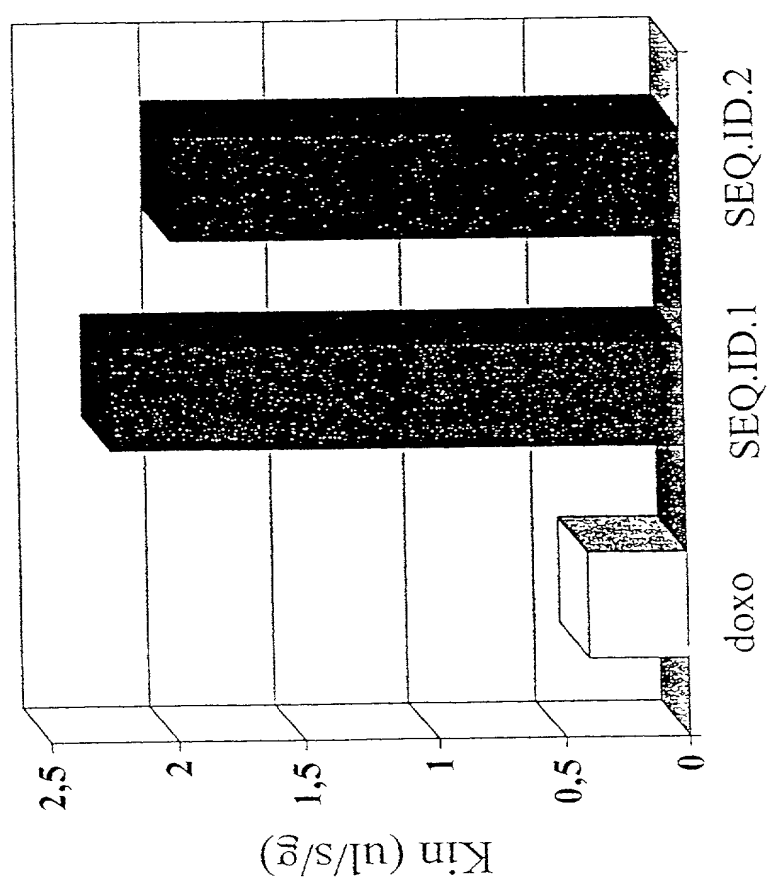


FIGURE 4

Distribution of products into brain structures

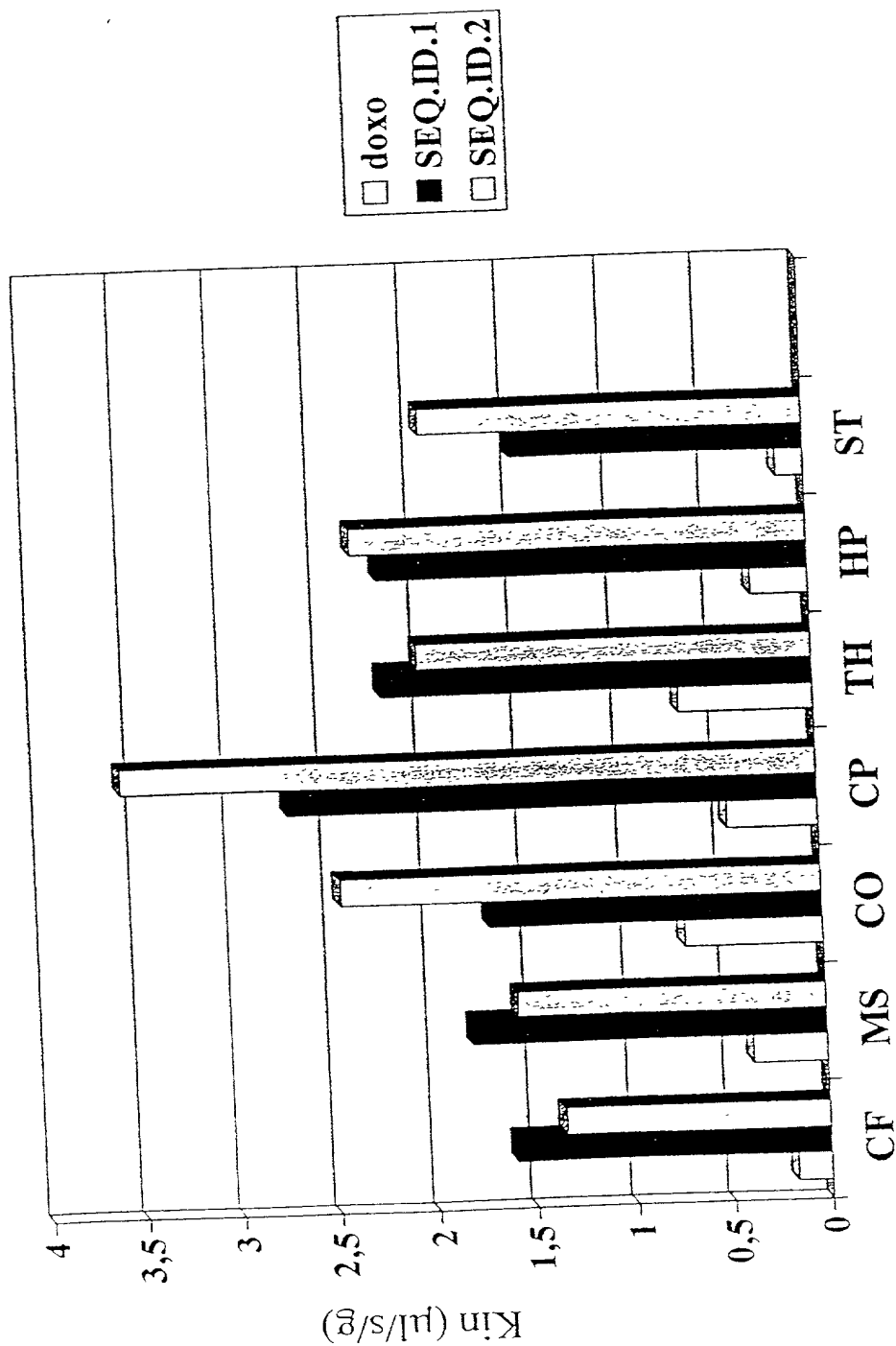


FIGURE 5

PENETRATION OF PRODUCTS AFTER RINSING

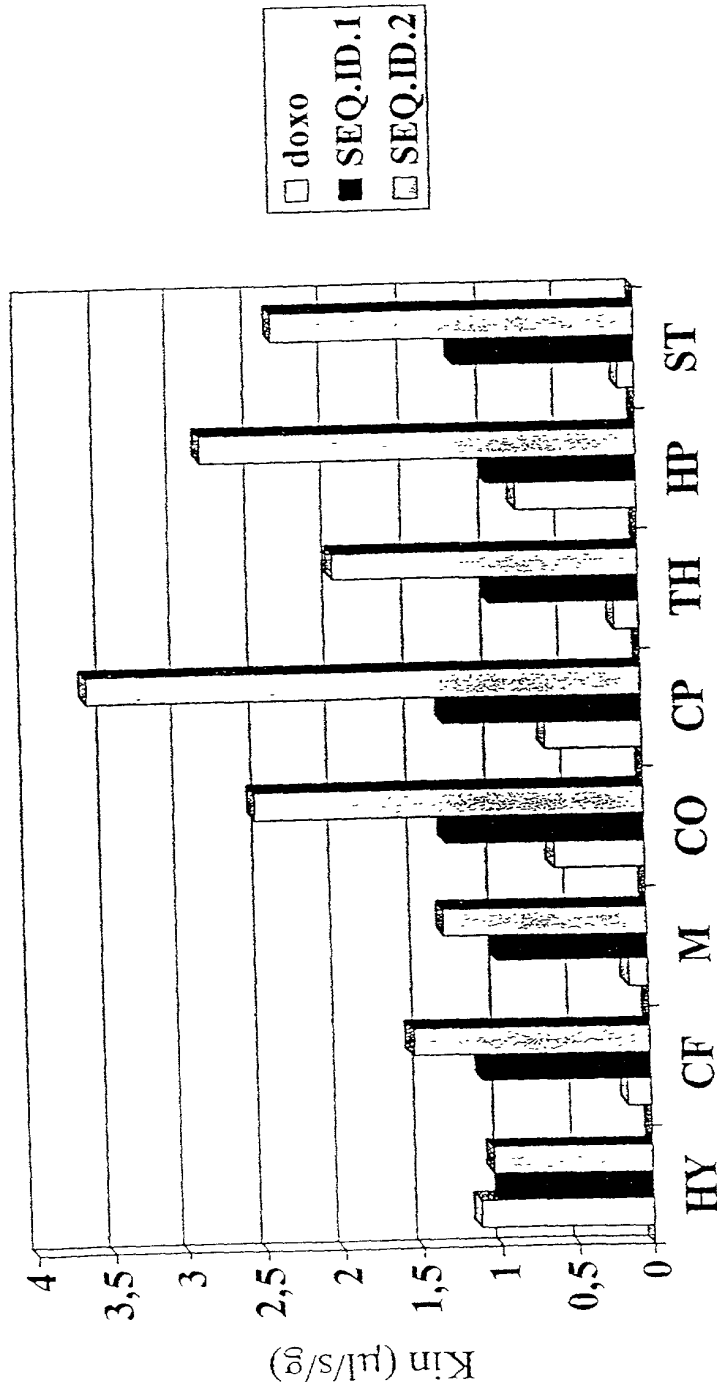


FIGURE 6

## DISTRIBUTION OF PRODUCTS AFTER CAPILLARY DEPLETION

- . Homogenate
- . Parenchyma
- . Endothelial cells

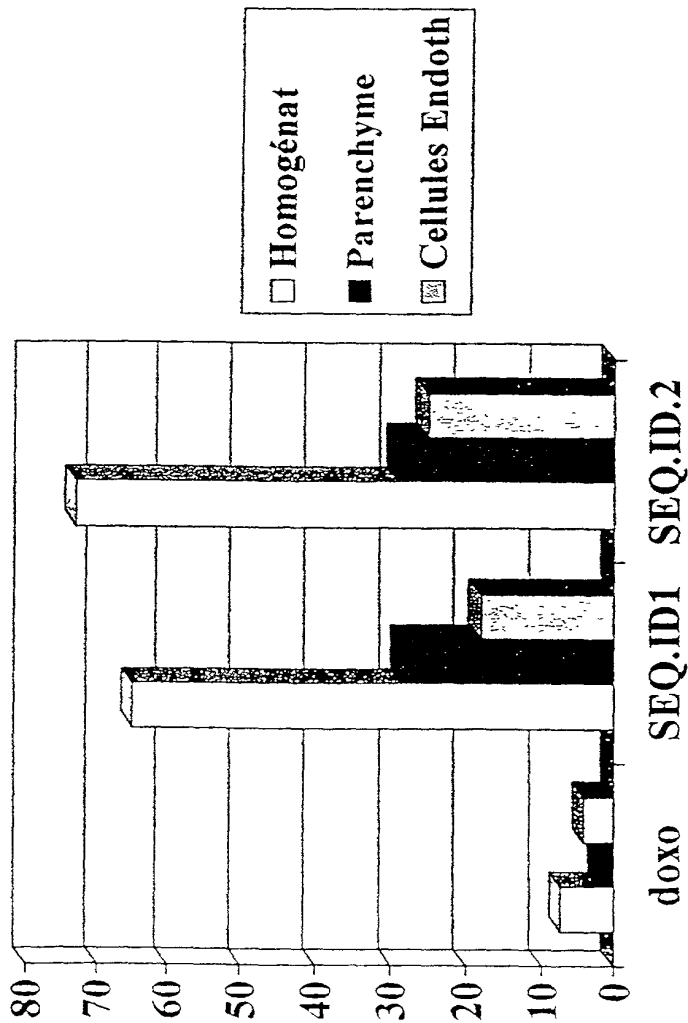
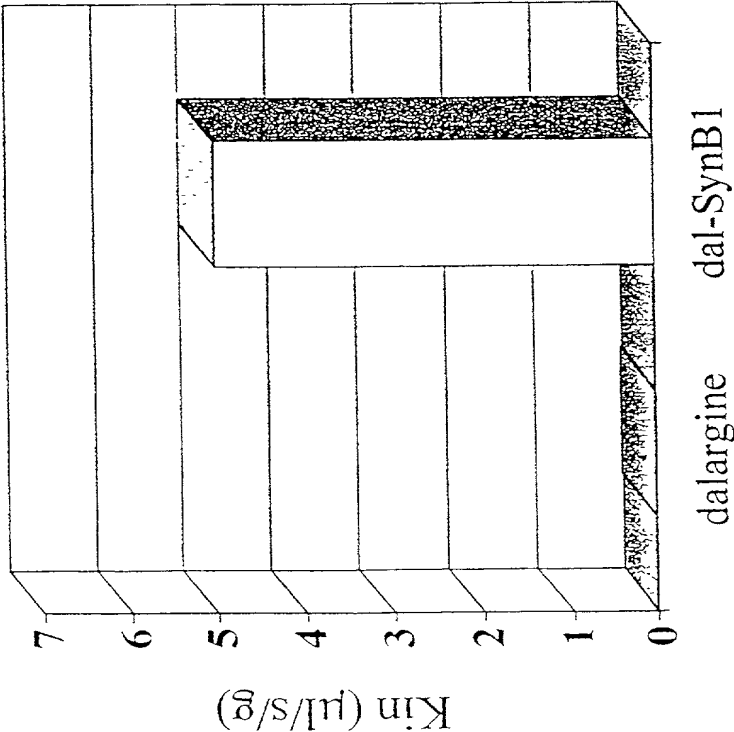


FIGURE 7

PENETRATION OF DALARGININE INTO THE BRAIN



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FIGURE 8

## ANALGESIC ACTIVITY OF VECTORIZED DALARGINE

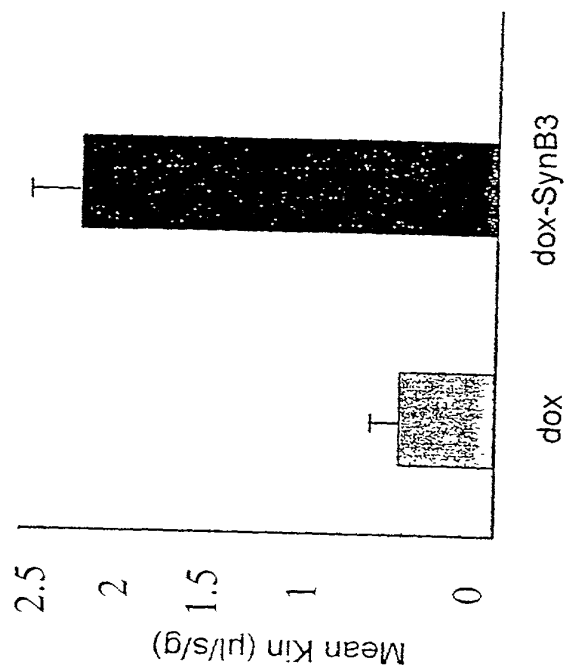
Latency (sec.)

Group	Latence (sec)					
	0min	5min	10min	15min	30min	45min 90min
Dalargin	4	7.6	5.1	5.6	4.8	5.6 5.3
Dal-SynB1	5	22.75	14.75	13.25	7.75	4 5.5
SynB1	6.1	6.8	7.5	5.5	4.67	5 5.17



FIGURE 9

PENETRATION OF PRODUCTS INTO THE BRAIN



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FIGURE 10

DISTRIBUTION OF PRODUCTS INTO BRAIN STRUCTURES

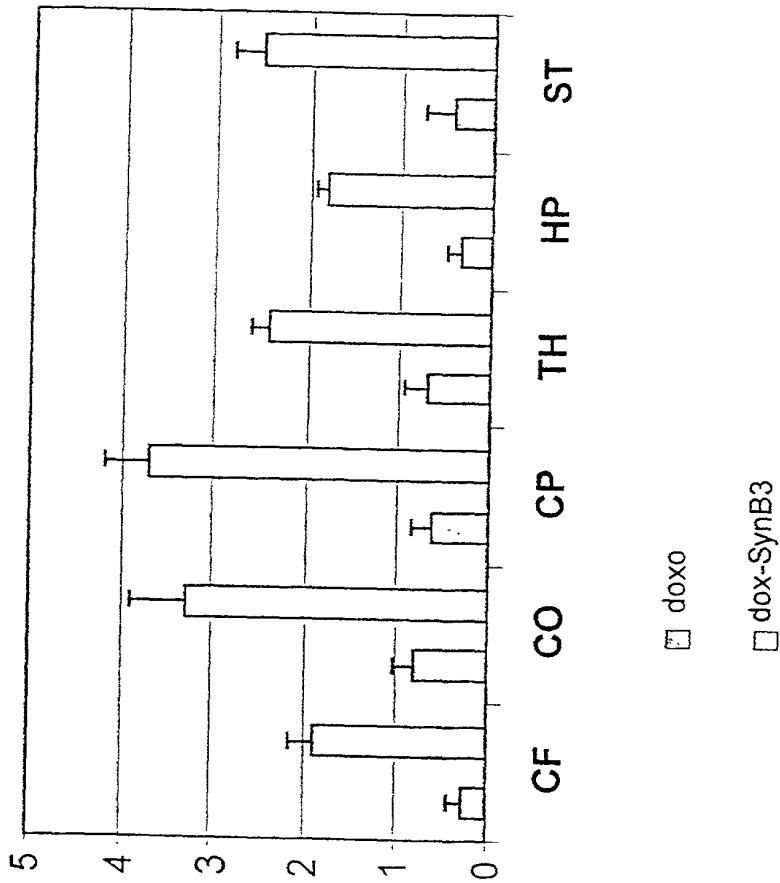


FIGURE 11

DISTRIBUTION OF PRODUCTS AFTER CAPILLARY DEPLETION

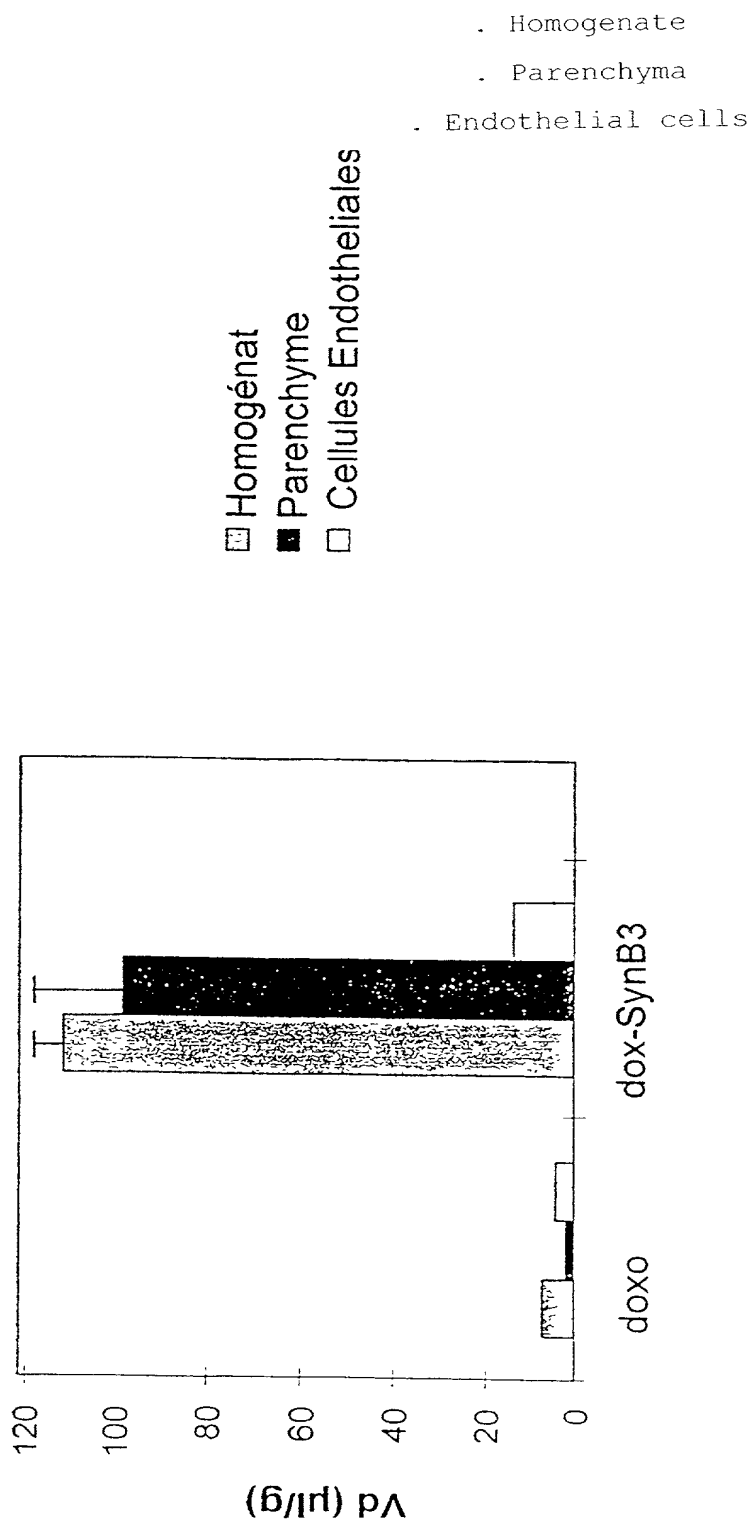


FIGURE 12

## Synthesis of N-Benzyl-Penicillin-SynB1

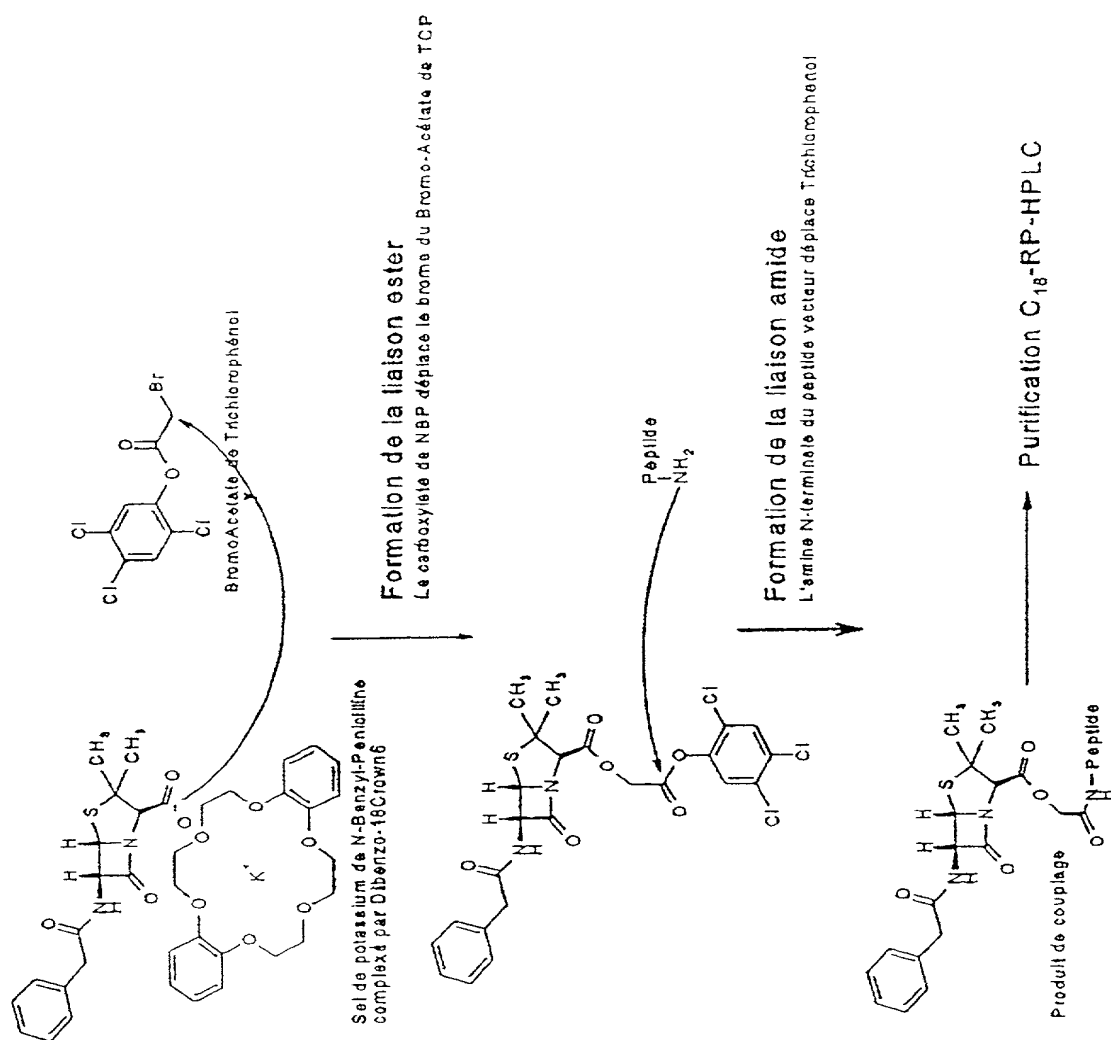


FIGURE 13

PENETRATION OF PENICILLIN INTO THE BRAIN

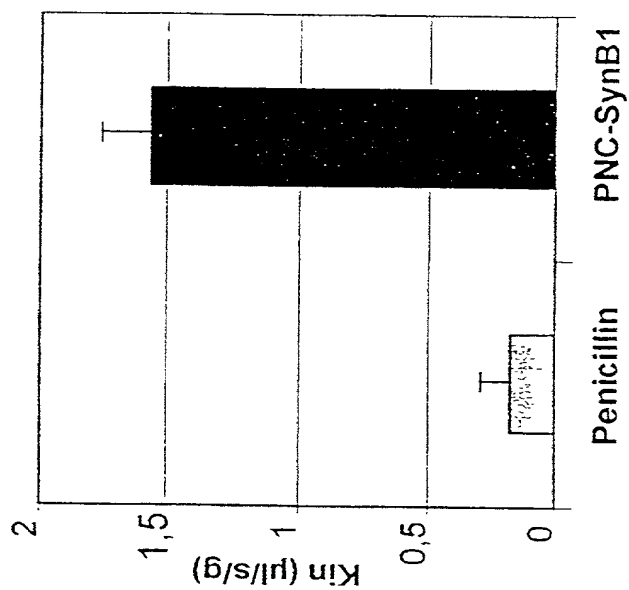


FIGURE 14

DISTRIBUTION OF PRODUCTS INTO BRAIN STRUCTURES

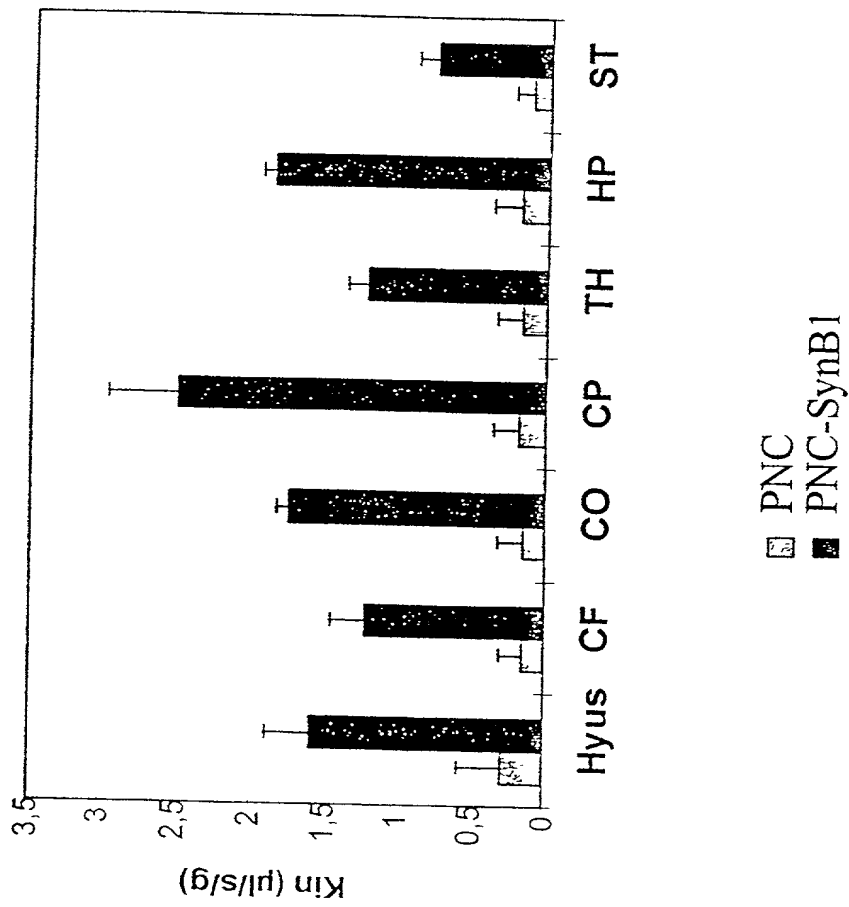
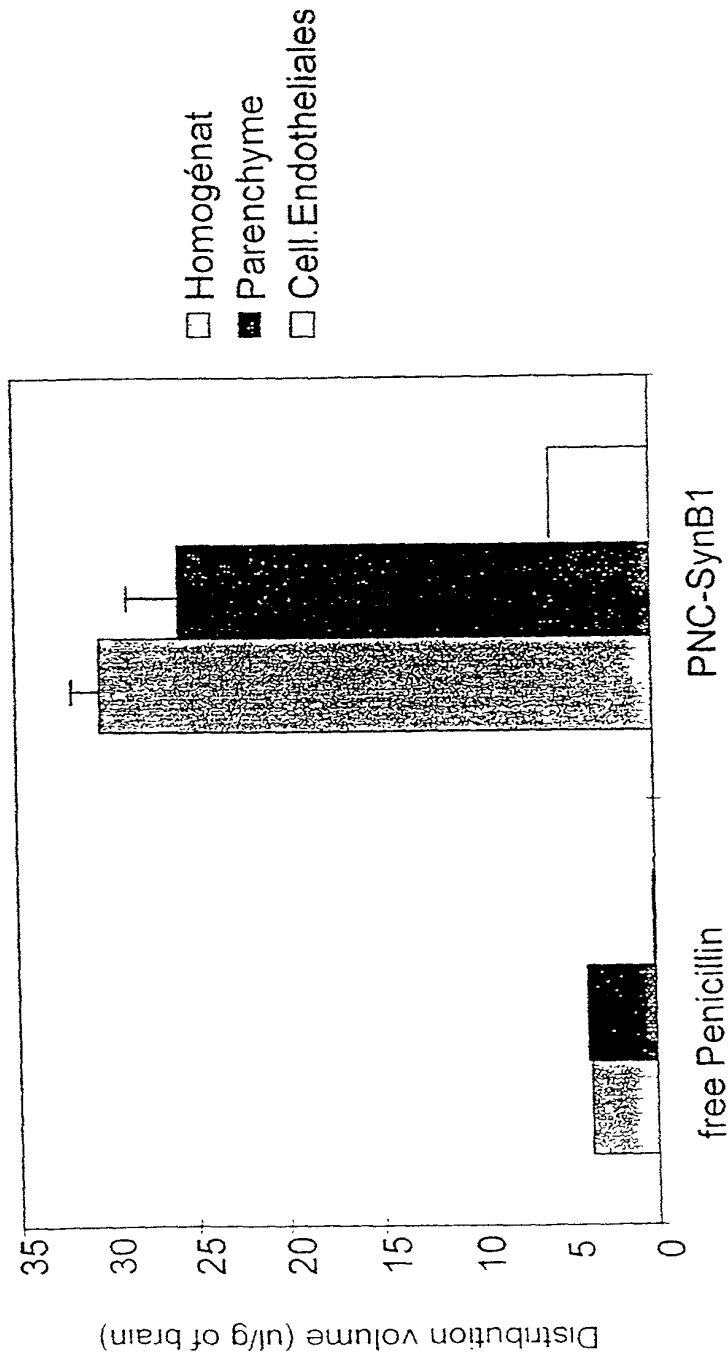


FIGURE 15

DISTRIBUTION OF PRODUCTS AFTER CAPILLARY DEPLETION



[illegible]